



TRACODI

Ho Chi Minh City, 31 January 2018

No: 01/2018/BB- ĐHĐCĐ-TCD

## MEETING MINUTES

### RESULTS OF THE VOTING THROUGH POSTAL BALLOT

*Re: The results of the vote through postal ballot commenced in January 2018*

- Pursuant to the Law No 68/2014/QH13 passed by the National Assembly of the Socialist Republic of Vietnam on Enterprise on 26 November 2014;
- Pursuant to the Law No 70/2006/QH11 2006 passed by the National Assembly on 29 June 2006 on Securities; the Law No 62/2010/QH12 passed by the National Assembly on 24 November 2010 on amending and supplementing a number of articles of Law No 70/2006/QH11 on Securities;
- Pursuant to Decree No 58/2012/NĐ-CP passed by the Government on 20 July 2012 on providing detailed regulations for implementation of a number of articles of the Law on Securities and the Law on amending and supplementing a number of articles of the Law on Securities;
- Pursuant to Decree No 60/2015/NĐ-CP passed by the Government on 26 June 2015 on providing specific provisions for the implementation of certain articles of the Law on securities and the Law on amending and supplementing a number of articles of the Law on Securities;
- Pursuant to the Charter of Transport and Industry Development Investment Joint Stock Company (TRACODI) approved by shareholders on 16 August 2017 (which was amended and supplemented at its 5th session);
- Pursuant to Resolution No 64/2017/NQ-HĐQT approved by BOD on 18 December 2017 on the vote through postal ballot in January 2018;
- Pursuant to Resolution No 66/2017/NQ-HĐQT approved by BOD on 19 December 2017 on changing the record date of the vote through postal ballot in January 2018;
- Based on the Notice No 04/2018/TB-HĐQT-TCD issued by BOD of TRACODI on 16 January 2018 on the voting through postal ballot;
- Based on the Proposal No 01/2018/TT-HĐQT-TCD submitted by BOD of TRACODI on supplementing economic branches to business lines of TRACODI;
- Based on the Proposal No 02/2018/TT-HĐQT-TCD submitted by BOD of TRACODI on amending and supplementing a number of points in the Charter of Transport and Industry Development Investment Joint Stock Company (TRACODI) (which was amended and supplemented at its 6th session);
- Based on "the Votes" posted by shareholders of TRACODI.



**A. COMPANY INFORMATION:**

- Company Name: TRANSPORT AND INDUSTRY DEVELOPMENT INVESTMENT JOINT STOCK COMPANY (TRACODI)
- Address: 89 Cach Mang Thang Tam Street, Ben Thanh Ward, District 1, HCM City.
- Business License No: 0300482393 issued by Department of Planning and Investment of Ho Chi Minh City, first issuance on May 25, 2007, 12<sup>th</sup> issuance on Oct. 19, 2017.

**B. TIME – LOCATION:**

- Time: 9 a.m. on 31 January 2018
- Location: Head office of Transport and Industry Development Investment Joint Stock Company (TRACODI) - 89 Cach Mang Thang Tam Street, Ben Thanh Ward, District 1, HCM City.

**C. VOTE CHECKING COMMITTEE, VOTE COUNTING SUPERVISOR AND VOTE COUNTING COMMITTEE:**

1. Vote Checking Committee:

- |                         |                   |                     |
|-------------------------|-------------------|---------------------|
| - Mr. Nguyen Ho Nam     | - Chairman of BOD | - Head of Committee |
| - Mr. Nguyen The Tai    | - Vice Chairman   | - Member            |
| - Mr. Nguyen Thanh Hung | - Member of BOD   | - Member            |

2. Vote Counting Supervisor:

- Mr. Nguyen Viet Cuong - Head of Board of Supervisors

3. Vote Counting Committee:

- Mrs. Nguyen Thi Anh Tuyet - Deputy Head of Support Department
- Mrs. Tran Thi My Binh - Deputy Head of Investor Relations
- Mrs. Nguyen Thi Ngoc Hoa - Deputy Head of Accounting Department

**D. SEEKING FOR APPROVAL:**

1. **Item No 1:** Proposal No 01/2018/TT-HĐQT-TCD issued by BOD on 16 January 2018 seeking for approval from Shareholders on supplementing economic branches to business lines of Transport and Industry Development Investment Joint Stock Company (TRACODI).
2. **Item No 2:** Proposal No 02/2018/TT-HĐQT-TCD issued by BOD on 16 January 2018 seeking for approval from Shareholders on amending and supplementing a number of points in the Charter of Transport and Industry Development Investment Joint Stock Company (TRACODI) (which was amended and supplemented at its 6<sup>th</sup> session).

**E. HOW TO SEND YOUR VOTE AND HOW WE COUNT:**

1. **How to vote:**

Shareholders fill in **Postal Ballot Form** and return your votes by post to the headquarters of TRACODI so as to reach the Company not later than **4:30 p.m. on 30 January 2018** by following ways:

- Postal Ballot Form, after being filled in, must be sent by registered mail, addressed to:

Vote Counting Committee/Secretaries - Transport and Industry Development Investment Joint Stock Company (TRACODI)

89 Cach Mang Thang Tam Street, Ben Thanh Ward, District 1, HCM City, Vietnam

Tel: +84 28 3833 0314/5 Ext: 120

- Send scan files via fax: +84 28 3833 0317
- Send scan files to email address: [tuyet.nta@tracodi.com.vn](mailto:tuyet.nta@tracodi.com.vn); or [binh.ttm@tracodi.com.vn](mailto:binh.ttm@tracodi.com.vn).
- Send directly to TRACODI's head office.

## 2. How to count:

- Number of votes a shareholder has corresponds to number of shares he owns (01 share - 01 voting right).
- Postal ballot form shall be deemed invalid and your vote may not be counted if:
  - No signature of individual shareholder or signature of legal person and seal of the organisation in the postal ballot form;
  - Mark in 02 boxes or more for all items;
  - Postal ballot form received after deadline;
  - Postal vote statement is modified, erased, or marked with symbols.
- Mark in 02 boxes or more for 01 item will be considered as it is invalid/will not be counted;
- Votes in favor (approval): Shareholders mark (x) of (√) in "Approval" box, that vote will be considered as approved.
- Votes – against (disapproval): Shareholders mark (x) of (√) in "disapproval" box, that vote will be considered as disapproved.
- Votes - abstention: (i) Shareholders mark (x) of (√) in "Abstention" box; or (ii) no box was marked, that vote will be considered as "Abstention".

## F. DETAILS OF THE VOTING RESULTS:

### 1. Number of forms sent to shareholders:

No.	Details	Number of votes	Number of shares held	% of votes polled on total voting rights of the Company
1	Number of votes sent to shareholders	390	34,434,034	100.00%
2	Number of votes received, including:	97	24,774,316	71.95%
	Valid votes	97	24,774,316	71.95%
	Invalid votes	0	0	0
3	Number of no vote, of which:	293	9,659,718	28.05%
	Number of returns (Shareholders provide wrong addresses or shareholders have moved to other places or no one receives form (RTS))	36	884,323	2.57%

**2. The results of valid votes received (percentage per total voting rights of the Company):**

Motions	Invalid			Approval			Disapproval			Abstention		
	No. of votes	No. of shares held	Percentage (%)	No. of votes	No. of shares held	Percentage (%)	No. of votes	No. of shares held	Percentage (%)	No. of votes	No. of shares held	Percentage (%)
Item No 1	0	0	0.00%	97	24,774,316	71.95%	0	0	0.00%	0	0	0.00%
Item No 2	0	0	0.00%	97	24,774,316	71.95%	0	0	0.00%	0	0	0.00%

**G. CONCLUSION:**

Based on the voting results of postal ballot, pursuant to the Law No. 68/2014/QH13 passed by the National Assembly of the Socialist Republic of Vietnam on Enterprise on 26 November 2014, Extraordinary General Meeting of Shareholders January 2018 of Transport and Industry Development Investment Joint Stock Company (TRACODI) fully agree as follows:

1. To approve supplementing economic branches to business lines of Transport and Industry Development Investment Joint Stock Company (TRACODI) (see details in Proposal No. 01/2018/TT-HĐQT-TCD issued by BOD on 16 January 2018);  
*Votes in favor: 71.95% of total voting rights of the Company.*
2. To approve amending and supplementing a number of points in the Charter of Transport and Industry Development Investment Joint Stock Company (TRACODI) (which was amended and supplemented at its 6<sup>th</sup> session), (see details in Proposal No. 02/2018/TT-HĐQT-TCD issued by BOD on 16 January 2018).

*Votes in favor: 71.95% of total voting rights of the Company.*

The voting count has done at 10 a.m. on the same day.

This minutes is considered as legal base for the Chairman of BOD to promulgate the resolution of extraordinary general meeting of shareholders January 2018 on behalf of shareholders under the voting through postal ballot.

We, members of the Board, the Legal representative, Head of Supervisors, members of Vote Counting Committee, hereby certify that the above results are true and correct to the best of our knowledge.

**FOR AND ON BEHALF OF BOARD OF DIRECTORS**

**LEGAL REPRESENTATIVE  
CHAIRMAN OF THE BOARD**

**VICE CHAIRMAN OF  
THE BOARD**

**MEMBER OF  
THE BOARD**



  
**Nguyen Ho Nam**

  
**Nguyen The Tai**

  
**Nguyen Thanh Hung**

**VOTE COUNTING SUPERVISOR  
HEAD OF BOARD OF SUPERVISORS**

  
**Nguyen Viet Cuong**



**VOTE COUNTING COMMITTEE**

  
**Nguyen Thi Anh Tuyet**

  
**Tran Thi My Binh**

  
**Nguyen Thi Ngoc Hoa**